Roadmap for assessment

## INTRODUCTION

In order for cash to be considered among the potential response options, cash-specific issues must be reflected in the assessment. The CiE Toolkit assessment module will focus on helping practitioners to integrate cash-specific issues into their regular emergency assessment processes and tools. The information gathered during the assessment will feed into response analysis.

The steps and sub-steps suggested are not necessarily sequential, and even can take place simultaneously. Each sub-step is associated with tools, and the outputs produced throughout the process will feed into each other. In particular, market and financial assessment will benefit from information gathered at community level.

Steps, sub-steps and tools can be adapted for use in different types of assessment and contexts, by different organizations. The first step is aimed at supporting the planning and preparation for a cash-sensitive assessment. The second step is aimed at supporting the collection of cash-specific information in shock-affected communities and households. The third step is aimed at supporting a rapid market assessment. The fourth and last step is dedicated to promoting an understanding of the capacities in place to implement cash transfer interventions, and the risks associated with this type of response.

When adapting tools to your context and needs, you can access detailed guidance on assessment from the reference documents suggested at both module and step levels.

## MINIMUM STANDARDS

* Cash-specific information must be considered in any emergency assessment.
* Different departments, particularly Logistics and Finance, should collaborate in emergency assessments, as defined in the CTP standard operation procedures (SOPs).
* Decisions on the immediate feasibility of cash post- shock must be taken by staff with technical experience and expertise, and must be confirmed in the subsequent phases of the assessment and in the response analysis.
* Cash-specific information gathered at community and household levels should include: access to and use of cash, markets and financial services; beneficiary preferences; and perceived risks associated with cash.
* The priority needs of the shock-affected population should be identified and quantified in monetary terms, using local prices.
* Market assessment should be focused on commodities, services and marketplaces that are central to households’ priority needs and livelihoods.
* Financial service providers’ capacity assessment should focus on services offered, coverage, accessibility, costs and regulation aspects
* The capacity of National Societies and partners to implement CTP timely and at-scale should be assessed.
* Risk assessment must include at least the identification of context, institutional and programmatic risks associated with a potential cash response

## STEPS AND SUB-STEPS

The CiE Toolkit suggests four steps to incorporate cash-specific issues into the assessment process, making relevant resources available.

### Plan and prepare

The initial decisions on the use of cash can be made immediately after the shock, by staff members who have technical expertise and experience, based on information gathered directly during initial field visits or taken from contingency plans and coordination meetings. It is important to consider that, whatever immediate decision is taken, only a subsequent assessment followed by response analysis can confirm whether CTP will be the best option to meet the needs of the affected population in the next phases. When choosing the assessment approach and tools, consider that most of them are not cash-sensitive. If you haven’t integrated cash-specific information into them during preparedness, it is likely that you will need to do this while planning and preparing for assessment. When looking for secondary data, make sure that you check which cash-specific secondary data sources are available. Finally, when mapping stakeholders, take into account those that can influence significantly or are important to the success of a potential cash intervention.

### Cash-sensitive community assessment

To make a cash-sensitive assessment, it is necessary to gather cash-specific information at community and household levels. It is particularly important to understand community and household access to and use of cash, markets and financial services, as well as their preferences and the perceived risks associated with this type of assistance. Another important component of a cash-sensitive community assessment is to quantify the priority needs of the shock-affected population in monetary terms using local prices. Quantifying the priority needs identified during the needs assessment will require market price monitoring (following step) and/or community consultation. Eventually, cash-specific community findings will be consolidated and integrated with the standard assessment report.

### Rapid market assessment

A rapid market assessment starts with the identification of key commodities and markets that are important for the affected population. The market information collected should be cross-checked with secondary data gathered during planning and preparation, as well as with information gathered at community and household levels. Market maps are suggested as a good way to represent and understand the structure of a market system. Preliminary market maps can be very helpful for identifying key informants to interview and bottlenecks to be investigated further in the field. Market findings should allow for an understanding of the market capacity to supply the shock-affected population with sufficient quantities of key commodities in a timely manner.

### Service providers, organizational capacity and risk analysis

The objective of this step is twofold: gauging the existing capacities to implement cash-based responses in time and at scale, and understanding the risks associated with cash-based responses.

Capacity assessment should focus on both on service providers’ capacity (including mobile phone operators, financial institutions, and traders) and organizational capacity (including National Society and implementing partners).

Risk analysis is a continuous process that starts during preparedness work, is conducted throughout the assessment phase at all levels (community, market, etc.) and will be updated throughout the project cycle. For this reason, the CiE Toolkit suggests the same risk analysis tools for all the different modules. These tools can be completed and updated as you move along the project phases.

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| REFERENCE DOCUMENTSICRC/IFRC (2008) Guidelines for assessment in emergencies <http://www.ifrc.org/Global/Publications/disasters/guidelines/guidelines-emergency.pdf>IFRC (2007) How to do a VCA A practical step-by-step guide for Red Cross Red Crescent staff and volunteers [http://www.ifrc.org/Global/Publications/disasters/vca/how-to-do-vca-en.pdf](http://www.ifrc.org/Global/Publications/disasters/vca/how-to-do-vca-en.pdf%C2%A0)Good Practice Review: Cash Transfer Programming in Emergencies. Humanitarian Practice Network (2011) [http://www.odihpn.org/documents%2Fgpr11.pdf](http://www.odihpn.org/documents/gpr11.pdf) |